

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
SHI : Confirmation No. 9564
Serial No. 10/769,218 : Group Art Unit: 1646
Filed: January 30, 2004 : Examiner: Alexander D. Kim

For: CASPASE-9: BIR DOMAIN OF XIAP COMPLEXES AND METHODS OF
USE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The undersigned asserts that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

AUTHORIZATION

No fee is required. The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to Deposit Account No. 50-0436.

Respectfully submitted,

PEPPER HAMILTON LLP

A handwritten signature in black ink, appearing to read 'R. Miller', followed by a long horizontal line extending to the right.

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Date: August 27, 2007

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known					
				Application Number		10/769,218			
				Filing Date		January 30, 2004			
				First Named Inventor		Shi			
				Group Art Unit		1656			
Examiner Name		Alexander D. Kim							
Sheet	1	of	1	Attorney Docket Number		112911.01501			
U.S. PATENT DOCUMENTS									
Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		Number	Kind Code (if known)						
FOREIGN PATENT DOCUMENTS									
Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		T
		Office	Number	Kind Code (if known)					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)									
Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or county where published.							
	C1	DEVERAUX et al., Cleavage of human inhibitor of apoptosis protein XIAP results in fragments with distinct specificities for caspases, 1999, EMBO J. 18(19):5242-5251							
	C2	SHIOZAKI et al., Mechanism of XIAP-Mediated Inhibition of Caspase-9, 2003, Molecular Cell 11:519-527							
Examiner Signature					Date Considered				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.